

Report Reference Number: A/19/4

To:	Audit and Governance Committee
Date:	30 July 2019
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Lead Officer:	Karen Iveson; Chief Finance Officer (s151)

Title: Risk Management Annual Report 2018/19

Summary:

The report provides a summary of risk management activity in 2018/19 and proposed actions to be taken in 2019/20.

Recommendation:

Councillors note the risk management activity undertaken in 2018/19 and comment on the proposed actions for 2019/20.

Reasons for Recommendation

To support the Audit and Governance Committee's responsibility for considering the effectiveness of the Council's risk management arrangements.

1. Introduction and Background

- 1.1 It is the responsibility of all service managers to identify and manage risks associated with the delivery of their services. Veritau provides support to this process by facilitating risk management activity and providing advice and training to managers and the Committee. This report summarises work undertaken by the Council to review risk registers during the year and summarises work done to support this by Veritau.

2. The Report

- 2.1 Over the past year, the following action has been taken to develop risk management activity and review current risks.

- A risk workshop was held in May 2018 with the Extended Leadership Team. The session has resulted in a revised and refreshed Corporate Risk Register (CRR).
- The Risk Management Annual Report 2017/18 was reported to the Audit and Governance Committee in July 2018.
- The Council's CRR was reported to the Audit and Governance Committee in July 2018 and January 2019.
- A review of the Risk Management Strategy was completed and reported to the Committee in January 2019.
- Specific risk management support was provided to the Celebrating Selby 950 project, including facilitation of a risk identification workshop in March 2019.
- Project risk management training was delivered to the Council's corporate project managers, also in March 2019.
- Officers have been reminded to update Service Based Risk Registers to ensure they accurately reflect existing and emerging risks.
- Veritau has supported managers to review risks in their service areas and to identify mitigating actions where necessary.

2.2 Further specific activity planned for 2019/20 includes the following.

- Continued development of the Council's risk architecture, particularly in relation to risk communication and reporting.
- A health check review of the risk management framework and the provision of feedback.
- Annual review of the Risk Management Strategy.
- Regular reporting of the Corporate Risk Register to Extended Leadership Team and to Audit and Governance Committee.
- Continuation of the risk drop in and training sessions for officers and members.
- Veritau will continue to work with officers to ensure the development of risk management information recorded on the Pentana system will help to ensure risk management is integrated into the culture and working practices within the Council.

3. Legal/Financial Controls and other Policy matters

3.1 Legal Issues

3.1.1 There are no legal implications as a direct result of this report.

3.2 Financial Issues

3.2.1 There are no financial implications as a direct result of this report.

4. Conclusion

- 4.1 Overall, a number of actions have been taken in order to further embed sound risk management processes within the Council. Work to be undertaken in 2019/20 will ensure that risk management continues to develop at all levels of the Council.

5. Background Documents

Risk Management Strategy – revised Jan 2019.

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Appendices:

No appendices.